

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78652

Massimo CANALI, et al.

Appln. No.: 10/721,585

Group Art Unit: Not Assigned

Confirmation No.: Not Assigned

Examiner: Not Assigned

Filed: November 26, 2003

For:

METHOD FOR CREATING A PROTOCOL-INDEPEDENT MANAGER/AGENT OF

RELATIONSHIP, NETWORK **MANAGEMENT** SYSTEM $\mathbf{I}\mathbf{N}$

TELECOMMUNICATION NETWORK

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.:

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 28,703

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CUSTOMER NUMBER

Date: February 27, 2004

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Application Number	10/721,585		
Confirmation Number	Not Assigned		
Filing Date	November 26, 2003		
First Named Inventor	Massimo CANALI		
Art Unit	Not Assigned		
Examiner Name	Not Assigned		
Attorney Docket Number	O78652		

	U.S. PATENT DOCUMENTS						
Examiner Cite Initials* No.1	Cita	Document N	umber	Publication Date MM-DD-YYYY			
	_	Number	Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document		
		US 2002/004828	A1	01/10/02	Gee		
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
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NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.				
		G. Jakobson et al, "GRACE: Building Next Generation Event Correlation Services" NETWORK OPERATIONS AND MANAGEMENT SYMPOSIUM (IEEE), April 10, 2000, pp. 701-714, XP010376718	Yes			
		T. Kawabata et al, "Component-oriented Network Management System Development", NETWORK OPERATIONS AND MANAGEMENT SYMPOSIUM (IEEE) April 10, 2000, pp. 395-408, XP010376697	Yes			

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Examiner Signature		Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.

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